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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))	Attorney Docket No.	IPG1 (12706.000009)
	First Inventor	BLAIR, Steven M.
	Title	OPTICAL COMMUNICATION SYSTEM USING COHERENT MULTIPLEXING IN AN OPTICAL DWDM NETWORK
	Express Mail Label No.	EL812793264US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Commissioner for Patents Box Patent Application Washington, DC 20231
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|--|---|
| 1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. <input checked="" type="checkbox"/> Applicant claims small entity status.
See 37 CFR 1.27.
3. <input checked="" type="checkbox"/> Specification [Total Pages <u>32</u>]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>4</u>]
5. Oath or Declaration [Total Sheets <u> </u>]
a. <input type="checkbox"/> Newly executed (original or copy)
b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d))
(for a continuation/divisional with Box 17 completed)
i. <input type="checkbox"/> DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 C.F.R.
1.63(d)(2) and 1.33(b).
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. <input type="checkbox"/> Computer Readable Copy
b. Specification Sequence Listing on:
i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or
ii. <input type="checkbox"/> paper
c. <input type="checkbox"/> Statement verifying identify of above copies |
|--|---|

ACCOMPANYING APPLICATION PARTS

- | | |
|---|--|
| 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) | |
| 10. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement
(when there is an assignee) | <input type="checkbox"/> Power of Attorney |
| 11. <input type="checkbox"/> English Translation Document (if applicable) | |
| 12. <input type="checkbox"/> Information Disclosure
Statement (IDS)/PTO-1449 | <input type="checkbox"/> Copies of IDS Citations |
| 13. <input type="checkbox"/> Preliminary Amendment | |
| 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)
(Should be specifically itemized) | |
| 15. <input type="checkbox"/> Certified Copy of Priority Document(s)
(if foreign priority is claimed) | |
| 16. <input checked="" type="checkbox"/> Other: | Request and Certification Under 35
U.S.C. 122(b)(2)(B)(i). Unexecuted
Declaration of Steven Blair and Larry
Campbell. |

17 If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____ / _____

Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CO**ADDRESS**☒ Customer Number or Bar Code Label

006980

or ☐ Correspondence address below

Name	R. Stevan Coursey Troutman Sanders LLP				
Address	Bank of America Plaza, Suite 5200 600 Peachtree Street N.E.				
City	Atlanta	State	Georgia	Zip Code	30308-2216
Country	US	Telephone	(404) 885-3632	Fax	(404) 962-6535

Name (Print/Type)	R. Stevan Coursey	Registration No. (Attorney/Agent)	39,949
Signature		Date	01/07/02

01-10-02

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PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Non-Provisional Patent Application of:

BLAIR, Steven M.
CAMPBELL, Larry L.

Group Art No.: **Unassigned**

Serial No.: **Unassigned**

Examiner: **Unassigned**

Filed: **January 7, 2002**

Atty. Ref: **IPG1 (12706.000009)**

For: **OPTICAL COMMUNICATION**
SYSTEM USING COHERENCE
MULTIPLEXING IN AN OPTICAL
DWDM NETWORK

JC879 U.S. PTO
10/041682
01/07/02

"Express Mail" Mailing Label Number: EL812793264US

Date of Deposit: January 7, 2002

I hereby certify that this correspondence and the papers described herein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above to the Commissioner of Patents Box Patent Application, Washington, D.C. 20231.

R. STEVAN COURSEY
Reg. No. 39,949

Signature

TRANSMITTAL LETTER

January 7, 2002

Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Sir:

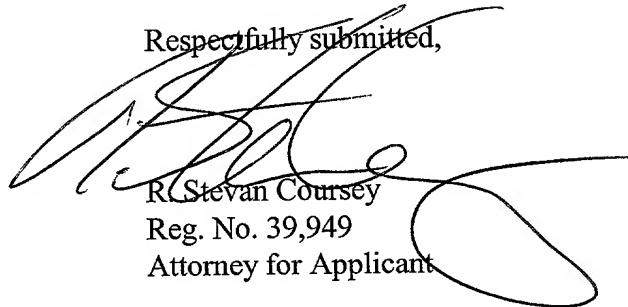
Enclosed please find the following items for filing in connection with the above-identified patent application:

- (1) Utility Patent Application Transmittal;
- (2) United States Utility Patent Application of Steven M. Blair and Larry L. Campbell,

comprising: 32 pages of specification (including 49 claims) and 4 sheets of formal drawings;

- (3) Unexecuted Declaration and Power of Attorney for Steven M. Blair;
- (4) Unexecuted Declaration and Power of Attorney for Larry L. Campbell;
- (5) Request and Certification Under 35 U.S.C. § 122(b)(2)(B)(i); and
- (6) Return Post Card.

Respectfully submitted,



R. Stevan Coursey
Reg. No. 39,949
Attorney for Applicant

Troutman Sanders LLP
Bank of America Plaza, Suite 5200
600 Peachtree Street, N.E.
Atlanta, Georgia 30308-2216
(404) 885-3632